



Attorney Docket No. BBRI-2005

1652
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: Victor Raso

Group Art Unit: Not yet assigned

Serial No.: 09/992,994

Examiner: Not yet assigned

Filed: November 6, 2001

For IMMUNOLOGICAL CONTROL OF β -AMYLOID LEVELS *IN VIVO*

**INFORMATION DISCLOSURE STATEMENT
WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST OFFICE ACTION**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98, except those of record in prior application, U.S. Serial No. 09/594,366. This paper is submitted in accordance with 37 CFR 1.97(b) (within three (3) months of the application filing date or prior to a first Office Action on the merits).

6/16/03
Date

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(P0026878.1)

/Stacey Macfarlane/

07/30/2007



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#4

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Victor Raso

Application No.: 09/992,994

Filed: November 6, 2001

Title: IMMUNOLOGICAL CONTROL OF β -AMYLOID LEVELS IN VIVO

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with
the United States Postal Service as First Class Mail in an envelope
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D.C. 20231 on 2/14/02

KEVIN M. FARRELL, P.C.

Sammy Moulton 2/14/02

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

[X] under 37 CFR 1.97(b), or

(Filed within three months of filing national application;
within three months of date of entry of national stage of
international application; or before mailing date of first
office action on the merits; whichever occurs last).

[] under 37 CFR 1.97(c) together with either:

- [] a Certification under 37 CFR 1.97(e), or
- [] a \$240.00 fee under 37 CFR 1.17(p), or

(Filed after the CFR 1.97(b) time period, but before final
action or notice of allowance)

The undersigned hereby certifies that each item of
information contained in the Information Disclosure
Statement was cited in a communication from a foreign patent
office in a counterpart foreign application not more than
three months prior to the filing of the statement.

/Stacey Macfarlane/

07/30/2007

- ☐ under 37 CFR 1.97(d) together with:
 - ☐ a Certification under 37 CFR 1.97(e), and
 - ☐ a petition under 37 CFR 1.97(d)(2)(ii), and
 - ☐ a petition fee set forth in 37 CFR 1.17(i)(1).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Method of Fee Payment:

- ☐ Enclosed is a check in the amount of \$_____.
- ☐ Please charge Deposit Account 06-0130 in the amount of \$_____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 06-0130.

Enclosed herewith is form PTO-1449:

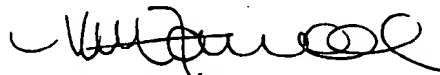
- ☐ Copies of the cited references are enclosed.
- ☒ Copies of cited references are enclosed except those of record in prior application, U.S. Serial No. 09/594,366, and references that are not required to be submitted under Rule 98.

Concise Explanation Requirements:

- ☐ The "concise explanation" requirement under C.F.R. 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



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York Harbor, ME
Dated: 2/21/02
BBRI\ARC\2005.IDS

/Stacey Macfarlane/

07/30/2007

PTO/SB/08A (10-01)
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Substitution for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	09992,994	
			Filing Date	November 6, 2001	
			First Named Inventor	Victor Raso	
			Art Unit	1652	
			Examiner Name	Patterson, C.	
			Attorney Docket Number	BBRI-2005	
Sheet	1	of	1		

[illegible][illegible]

Examiner Signature	/Stacey Macfarlane/	Date Considered	07/12/2007
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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1 Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

